

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/892,225

06/25/2001

Shunpei Yamazaki

07977/279001/US5023/5025

CONFIRMATION NO. 1969

FORMALITIES LETTER

SCOTT C. HARRIS Fish & Richardson P.C. Suite 500 4350 La Jolla Village Drive San Diego, CA 92122

Date Mailed: 08/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yamazaki, et al.

Art Unit: 2811

Serial No.: 09/892,225

Examiner:

Unknown

Filed

: June 25, 2001

Title

: SEMICONDUCTOR DEVICE AND FABRICATION METHOD THEREFOR

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Responsive to the Notice to File Missing Parts of

Application under 37 CFR §1.53(b) mailed August 16, 2001 (a copy

of which is enclosed), applicant as a large entity submits

herewith the following:

- Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- $oxed{oxed{oxed{oxed{oxed{oxed{oxed{A}}}}}}$ A check in the total amount of \$130 is attached; and
- A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63.

It is understood that this perfects the application and no additional papers or filing fees are required.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

	October 16, 2001	
Date of Deposit	Sty Regan	
Signature		
	Susan Regan	

Typed or Printed Name of Person Signing Certificate



Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:	10/16/01	

JAMES T. HAGLER REG. NO 40,631

Scott C. Harris Reg. No. 32,030

SCH/smr

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